

| <b>Title of Meeting:</b>   | Governing Body Meeting  | <b>Agenda Item: 5.2</b>   |           |                |            |           |             |         |  |                     |  |
|--|---|---|-----------|----------------|------------|-----------|-------------|---------|--|---------------------|--|
| <b>Date of Meeting:</b>  | 30 April 2020   | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr style="background-color: #4F81BD; color: white;"> <th colspan="2">Session (Tick)</th> </tr> <tr> <td>Public</td> <td style="text-align: center;">X</td> </tr> <tr> <td>Private</td> <td></td> </tr> <tr> <td>Development Session</td> <td></td> </tr> </table> |           | Session (Tick) |            | Public    | X           | Private |  | Development Session |  |
| Session (Tick)   |   |   |           |                |            |           |             |         |  |                     |  |
| Public   | X   |   |           |                |            |           |             |         |  |                     |  |
| Private  |   |   |           |                |            |           |             |         |  |                     |  |
| Development Session  |   |   |           |                |            |           |             |         |  |                     |  |
| <b>Paper Title:</b>  | Approval of Budgets   |   |           |                |            |           |             |         |  |                     |  |
| <b>Responsible Governing Body Member Lead</b><br>Jane Hawkard<br>Chief Finance Officer   |   | <b>Report Author and Job Title</b><br>Dilani Gamble<br>Deputy Chief Finance Officer   |           |                |            |           |             |         |  |                     |  |
| <b>Purpose (this paper if for)</b>   | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr style="background-color: #4F81BD; color: white;"> <th style="width: 25%;">Decision</th> <th style="width: 25%;">Discussion</th> <th style="width: 25%;">Assurance</th> <th style="width: 25%;">Information</th> </tr> <tr> <td style="text-align: center;">X</td> <td></td> <td></td> <td></td> </tr> </table> |   |           | Decision       | Discussion | Assurance | Information | X       |  |                     |  |
|  | Decision  | Discussion  | Assurance | Information    |            |           |             |         |  |                     |  |
| X  |   |   |           |                |            |           |             |         |  |                     |  |
| <b>Has the report (or variation of it) been presented to another Committee / Meeting?</b><br><b>If yes, state the Committee / Meeting:</b> Yes. Executive Directors Group and Finance Performance & Commissioning Committee.   |   |   |           |                |            |           |             |         |  |                     |  |
| <b>Executive Summary</b><br><p>The CCG submitted its Draft Financial Plan to NHSE&amp;I on 28 February 2020 that met the control total requirement of £15.691m deficit, in line with national planning timescales. The Operational Planning process for 2020/21 was then suspended in March 2020 due to the emergency measures that were required to be put in place as a result of COVID-19. As such, the CCG have formulated operational budgets that are based on the 28 February 2020 draft Financial Plan submission to ensure that robust financial budget management and governance processes continue to be followed.</p> <p>This paper sets out the operational budgets at summary Directorate level (<b>Appendix 1</b>) that are derived from the Draft Financial Plan submission on 28 February 2020. Further more detailed Directorate level budget information is provided at <b>Appendix 2</b>. The CCG's scheme of delegation and standing financial instructions will support development of a more detailed budget holder and manager framework for 2020/21. Budget holders have been identified and agreed by the Executive Directors Group and will receive notification of budgets at a high level with the first detailed budget reports available from the end of May 2020. The North Yorkshire ledger is now up and running and there is a checking process in place to agree all brought forward values.</p> <p>A version of this paper has been discussed and agreed at the Finance, Performance &amp; Commissioning Committee and the Executive Directors Group and suggested amendments have been incorporated in the appendices.</p> <p>The CCG will keep this process under review and will amend and update as further national guidance is issued.</p> <p>These budgets do not include any allocation or funding relating specifically to COVID-19 as this expenditure is being separately recorded and re-imburement templates are being completed to secure additional funding.</p> |   |   |           |                |            |           |             |         |  |                     |  |
| <b>Recommendations</b><br><b>The Governing Body is being asking to:</b> <ul style="list-style-type: none"> <li>• Approve the 2020/21 Operational Budgets that reconcile to the Draft Financial Plan submitted to NHSE&amp;I on 28 February 2020.</li> <li>• Note that the CCG will operate within this budgetary framework for 2020/21 and will update the Governing Body for any changes as a result of national guidance.</li> </ul>   |   |   |           |                |            |           |             |         |  |                     |  |

|   |  |
|---|--|
| <b>Monitoring</b><br>This Governing Body will receive regular monthly financial monitoring reports. |  |
| <b>Any statutory / regulatory / legal / NHS Constitution implications</b>                           | The CCG has a statutory duty to break even.<br><br>The CCG also has a duty of care to ensure delivery against the Quality and Outcomes Framework and NHS Constitution. |
| <b>Management of Conflicts of Interest</b>  | No conflicts of interest have been identified prior to the meeting.  |
| <b>Communication / Public &amp; Patient Engagement</b>  | N/A  |
| <b>Financial / resource implications</b>  | These operational budgets assume the CCG meets control total of £15.691m deficit and delivery of a £20.3m QIPP programme.  |
| <b>Outcome of Impact Assessments completed</b>  | N/A  |

**Name** Dilani Gamble

**Title** Deputy Chief Finance Officer

**North Yorkshire Clinical Commissioning Group  
Summary Operational Budgets 2020/21 based on Draft Financial Plan submission to  
NHS England (28 February 2020)**

| Budget Holder  | Director      | Operational Budgets      |                              |                      |
|--|---------------|--------------------------|------------------------------|----------------------|
|  |               | Programme Budget<br>£000 | Running Costs Budget<br>£000 | Total Budget<br>£000 |
| Accountable Officer                                      | Amanda Bloor  | 0                        | 1,312                        | 1,312                |
| Chief Finance Officer                                    | Jane Hawcard  | 1,399                    | 2,614                        | 4,013                |
| Director of Corporate Services, Governance & Performance | Julie Warren  | 57,260                   | 1,868                        | 59,128               |
| Director of Strategy & Integration                       | Wendy Balmain | 137,292                  | 921                          | 138,213              |
| Director of Acute Commissioning                          | Simon Cox     | 343,371                  | 469                          | 343,840              |
| Director of Nursing, Quality & Clinical Governance       | Sue Peckitt   | 142,324                  | 429                          | 142,753              |
|  |               |                          |                              |                      |
| <b>Total Expenditure Budget</b>                          |               | <b>681,645</b>           | <b>7,614</b>                 | <b>689,259</b>       |
|  |               |                          |                              |                      |
| <b>Notified CCG Allocation</b>                           |               | <b>665,704</b>           | <b>7,864</b>                 | <b>673,568</b>       |
|  |               |                          |                              |                      |
| <b>Control Total Deficit</b>                             |               | <b>(15,941)</b>          | <b>250</b>                   | <b>(15,691)</b>      |

## North Yorkshire Clinical Commissioning Group Detailed Directorate Operational Budgets 2020/21

| Accountable Officer<br>Amanda Bloor                                      |                |                                       |              |
|--|----------------|---------------------------------------|--------------|
| Programme Budgets  | £000           | Running Costs Budget                  | £000         |
|  |                | CCG Executive Team                    | 1,049        |
|  |                | GP and lay Members                    | 238          |
|  |                | Non Pay costs                         | 26           |
| <b>Total Programme Budget</b>  | <b>0</b>       | <b>Total Running Costs Budget</b>     | <b>1,312</b> |
| Chief Finance Officer<br>Jane Hawkard                                    |                |                                       |              |
| Programme Budgets  | £000           | Running Costs Budget                  | £000         |
| Contingency  | 3,370          | Finance & Contracting Pay Costs       | 1,124        |
| System Support 2019/20   | 500            | Internal & External Audit             | 228          |
| System Support 2020/21   | (6,500)        | NECS Support Services                 | 1,231        |
| Contract & Other Reserves  | 3,703          | NECS Customer Dividend                | (200)        |
| NHSPS - Market Rates   | 326            | Non Pay costs                         | 231          |
| <b>Total Programme Budget</b>  | <b>1,399</b>   | <b>Total Running Costs Budget</b>     | <b>2,614</b> |
| <i>QIPP Assumed in Budgets</i>   | <i>101</i>     |                                       |              |
| Director of Corporate Services, Governance & Performance<br>Julie Warren |                |                                       |              |
| Programme Budgets  | £000           | Running Costs Budget                  | £000         |
| CHC & FNC packages of care   | 52,602         | Human Resources Pay Costs             | 120          |
| Continuing Health Care Assessment Team                                   | 1,586          | Corporate & Business Office Pay Costs | 573          |
| Discharge to Assess packages of care                                     | 654            | Communications & Engagement Pay Costs | 214          |
| Specialist Brain Injury Rehab  | 1,759          | Performance/EP RR Pay Costs           | 49           |
| Clinical Leads/GP costs  | 658            | Non Pay Costs                         | 912          |
| <b>Total Programme Budget</b>  | <b>57,260</b>  | <b>Total Running Costs Budget</b>     | <b>1,868</b> |
| <i>QIPP Assumed in Budgets</i>   | <i>3,256</i>   |                                       |              |
| Director of Strategy & Integration<br>Wendy Balmain                      |                |                                       |              |
| Programme Budgets  | £000           | Running Costs Budget                  | £000         |
| Harrogate & District NHS FT - Community Services                         | 16,279         | Primary Care & Integration Pay Costs  | 633          |
| York Teaching Hospital NHS FT - Community Services                       | 1,448          | Business Change & Planning Pay Costs  | 224          |
| Humber Teaching NHS FT - Community Services                              | 18,682         | Non Pay Costs                         | 65           |
| South Tees NHS FT - Community Services                                   | 9,954          |                                       |              |
| Vocare - Integrated Urgent Care  | 3,091          |                                       |              |
| Community Equipment  | 1,878          |                                       |              |
| Wheelchairs  | 1,484          |                                       |              |
| Continence Products  | 407            |                                       |              |
| Voluntary Sector Services  | 293            |                                       |              |
| Primary Care Delegated Budget  | 64,246         |                                       |              |
| Primary Care - Local Community Based Services                            | 6,053          |                                       |              |
| GPIT Costs   | 1,548          |                                       |              |
| Primary Care - £1.50 per head  | 649            |                                       |              |
| Social Care/BCF - NYCC   | 10,537         |                                       |              |
| NYCC - Section 256   | 743            |                                       |              |
| <b>Total Programme Budget</b>  | <b>137,292</b> | <b>Total Running Costs Budget</b>     | <b>921</b>   |
| <i>QIPP Assumed in Budgets</i>   | <i>1,854</i>   |                                       |              |

**North Yorkshire Clinical Commissioning Group  
Detailed Directorate Operational Budgets 2020/21**

| Director of Acute Commissioning<br>Simon Cox                      |                |   |            |
|---|----------------|---|------------|
| Programme Budgets   | £000           | Running Costs Budget                    | £000       |
| Harrogate & District NHS FT                                       | 103,469        | Acute Commissioning Pay Costs           | 450        |
| York Teaching Hospital NHS FT                                     | 98,691         |   |            |
| South Tees NHS FT   | 75,029         | Non Pay costs                           | 19         |
| Leeds Teaching Hospitals NHS Trust                                | 9,136          |   |            |
| Hull University Teaching Hospitals NHS Trust                      | 3,425          |   |            |
| North Tees & Hartlepool NHS FT                                    | 690            |   |            |
| Newcastle Upon Tyne Hospitals NHS FT                              | 1,579          |   |            |
| County Durham & Darlington NHS FT                                 | 8,336          |   |            |
| Ramsey Health Care - Tees Valley Treatment Centre                 | 1,125          |   |            |
| Ramsey Health Care - Clifton Park                                 | 1,085          |   |            |
| Nuffield Health - Tees  | 528            |   |            |
| Nuffield Health - York  | 415            |   |            |
| Spire Healthcare  | 196            |   |            |
| BMI - Woodlands   | 1,368          |   |            |
| BMI - Duchy   | 1,339          |   |            |
| Harrogate & District NHS FT (out of contract services)            | 757            |   |            |
| Other Acute Services  | 356            |   |            |
| Yorkshire Ambulance Service (Blue Light)                          | 22,241         |   |            |
| Yorkshire Ambulance Service PTS                                   | 2,787          |   |            |
| NHS 111 - YAS & Wakefield CCG                                     | 1,346          |   |            |
| Other Patient Transport Services                                  | 2,054          |   |            |
| Non Contracted Activity   | 7,420          |   |            |
| <b>Total Programme Budget</b>                                     | <b>343,371</b> | <b>Total Running Costs Budget</b>       | <b>469</b> |
| <i>QIPP Assumed in Budgets</i>                                    | <i>10,320</i>  |   |            |
| Director of Nursing, Quality & Clinical Governance<br>Sue Peckitt |                |   |            |
| Programme Budgets   | £000           | Running Costs Budget                    | £000       |
| Tees, Esk & Wear Valley NHS FT                                    | 48,189         | Mental Health Pay Costs                 | 221        |
| Tees, Esk & Wear Valley NHS FT - Forensic Low Secure              | 531            | Childrens & Young People Team Pay Costs | 116        |
| Transforming Care Programme                                       | 2,348          | Quality & Nursing Pay costs             | 67         |
| MH Non Contract Activity (incl Section 117)                       | 10,046         | Non Pay Costs                           | 25         |
| Other MH Contracts  | 846            |   |            |
| The Retreat - Children's Autism                                   | 263            |   |            |
| Hospice Contracts & Services                                      | 3,565          |   |            |
| St Michael's/Herriot Hospice (End of Life Care)                   | 780            |   |            |
| Primary Care Prescribing  | 74,595         |   |            |
| Medicines Management  | 524            |   |            |
| Safeguarding (Adults & Children)                                  | 435            |   |            |
| Clinical Quality & Assurance                                      | 201            |   |            |
| <b>Total Programme Budget</b>                                     | <b>142,324</b> | <b>Total Running Costs Budget</b>       | <b>429</b> |
| <i>QIPP Assumed in Budgets</i>                                    | <i>3,466</i>   |   |            |