

Title of Meeting:	Governing Body	Agenda Item: 8.2									
Date of Meeting:	30 April 2020										
Paper Title:	Audit Committee Key Messages	Session (Tick)									
		Public	X								
		Private									
	Workshop										
Responsible Governing Body Member Lead Ken Readshaw, Lay Member for Audit & Governance and Audit Committee Chair		Report Author and Job Title Ken Readshaw, Lay Member for Audit & Governance and Chair of the Audit Committee									
Purpose (this paper if for)	<table border="1"> <thead> <tr> <th>Decision</th> <th>Discussion</th> <th>Assurance</th> <th>Information</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td>X</td> <td></td> </tr> </tbody> </table>			Decision	Discussion	Assurance	Information			X	
	Decision	Discussion	Assurance	Information							
		X									
Has the report (or variation of it) been presented to another Committee / Meeting? If yes, state the Committee / Meeting: No.											
Executive Summary The Audit Committee provides the Governing Body with an independent and objective view of the CCG's financial systems, financial information and compliance with laws, regulations and directions governing the CCG in so far as they relate to finance, risk management systems and emergency planning arrangements.											
Recommendations The Governing Body is asked to receive the report as assurance.											
Monitoring An assurance report on key topics discussed at the Audit Committee will be brought to each Governing Body meeting.											
Any statutory / regulatory / legal / NHS Constitution implications	The Audit Committee is accountable to the Governing Body and is required to provide key messages and confirmed minutes from all of its meetings.										
Management of Conflicts of Interest	No conflicts of interest have been identified prior to the meeting.										
Communication / Public & Patient Engagement	Key Messages are published with the Governing Body Papers and any additional update is noted in the minutes, which are also published on the CCG's website.										
Financial / resource implications	None identified.										
Outcome of Impact Assessments completed	Not applicable.										

Ken Readshaw, Lay Member for Audit and Governance and Audit Committee Chair

Audit Committee Key Messages

The Audit Committees met as a Committees in Common for the final time on 13 February 2020.

Approvals

- Committee forward plan 20/21.
- Draft audit committee annual report 19/20.
- Internal audit programme for 20/21. Audit days reduced from 270 to 138.

Other Matters

- Progress report on risk management, policies and governing body assurance framework received.
- Process for annual reviews of committee effectiveness considered. Process to be finalised by executive team.
- Legal update received. New deprivation of liberty obligations will have resource and training implications. These are being assessed.
- Comprehensive merger due diligence report considered. All due items RAG rated green. Formal authority to approve documents for the individual North Yorkshire CCGs will be given to the new North Yorkshire CCG as part of the merger approval process.
- The year end timetable was reviewed.
- Internal Audit recommendations are increasing due to capacity constraints caused by merger workstreams. Jane Hawkward and Kim Betts will liaise to ensure the major recommendations are cleared before the year end.
- Three internal audit reports were received including Continuing Healthcare. This received significant assurance for the first time, a very pleasing achievement. However the audit only covered 50% of cases by value (fully funded and fast track). The audit of the remaining cases will take place early in 20/21.
- The audit of Business Continuity Planning received significant assurance (HARD), but limited assurance (S&R).
- The audit of Joint Contract Management received significant assurance.
- Mazars reported that progress on the 19/20 external audit continues satisfactorily.
- All CCGs achieved the mental health investment standard with 'except for' qualifications.

Ken Readshaw, Lay Member for Audit and Governance and Audit Committee Chair