

Likelihood	Consequences				
	1 (Insignificant)	2 (Minor)	3 (Moderate)	4 (Major)	5 (Catastrophic)
1 (Rare)	1	2	3	4	5
2 (Unlikely)	2	4	6	8	10
3 (Possible)	3	6	9	12	15
4 (Likely)	4	8	12	16	20
5 (Almost certain)	5	10	15	20	25

Significant Risks Identified	High Risks Identified	Medium Risks Identified	Low Risks Identified
13-25	8-12	4-6	1-3

Closed Risks	1
Open Risks	9
Accepted Risks	0
Total Risks	10

Significant Risks Identified	4
High Risks Identified	5
Medium Risks Identified	0
Low Risks Identified	1
TOTAL Risks	10

Narrative
This Risk Register relates solely to the exercise being undertaken by Durham & Tees Community Ventures LIFCo in the preparation of detailed designs, specifications, and delivery of a comprehensive refurbishment of Stokesley health Centre. Risks relating to wider elements outside of the immediate delivery of the project are expected to be recorded separately by the Client (NHSPS). Construction / CDM Risk will be managed via the Principal Designer and Principal Contractor with each discharging their respective responsibilities in accordance with the CDM Regs 2015 primarily through the development of a PCI document by the PD and a Construction Phase Plan by the PC.

Risk Register RAG Previous Month	Risk Register RAG This Month
AMBER	AMBER

Risk Number	Risk Description: describe the cause (hazard), and effect (risk)	Original Likelihood Score	Original Consequences Score	Original Risk rating	Risk Level	Date Added to Risk Register	Mitigating Actions/Controls Required	Responsible Person	Reviewed Likelihood Score	Reviewed Impact Score	Reviewed Risk rating	Risk Movement from last assessment ▲ / ▼ / ▲	Risk Status	Date Reviewed
1	Inability to agree a suitable layout and thus failure to achieve a Design Freeze	3	4	12	High	16/12/2020	DTCV to set up stakeholder design development meetings and consult as required to ensure the design evolves in response to stakeholder requirements	DTCV / NHSPS / GP / Community Services Providers	1	1	1		closed	16/12/2020
2	Approved ETTF budget is insufficient to deliver the agreed scope of works	4	4	16	Significant	16/12/2020	A PTE will be prepared prior to going out to tender though clearly this will not mitigate the risk only identify the level of any potential shortfall. Given the scope of works has increased as design has evolved it might be that elements of the works could be separately funded by NHSPS	DTCV / Design Team / NHSPS	3	3	9		open	16/12/2020
3	Proposed scope includes elements that will require Planning Consent therefore there is a risk of not gaining consent	2	3	6	Low	16/12/2020	Early engagement by the design team with the Planning Authority. Proposals are not radical therefore resistance is not anticipated other than potentially the new roof mounted plant & screening	DTCV / Design Team	2	3	6		open	16/12/2020
4	Significant refurbishment of operational Health Centre creates risk to service delivery and project costs	4	3	12	High	16/12/2020	DTCV have liaised with stakeholders to develop a phasing plan that also works from a services perspective - there are still complexities / risks that need to be mitigated once an SoW for the temporary works has been developed	All stakeholders	3	3	9		open	16/12/2020
5	Delays to delivery of works during ongoing and potentially tightened COVID restrictions	5	4	20	Significant	16/12/2020	Service providers are already working in a COVID secure manner which will need to be continued throughout the construction phase. It is difficult to forecast the impact of COVID on the construction sector but giving the contractor vacant possession of the work area should support delivery in a COVID secure manner	All stakeholders	3	4	12		open	16/12/2020
6	Materials supply issues due to COVID and BREXIT	4	4	16	Significant	16/12/2020	The Design Team will endeavour to avoid specifying any material known to be imported to order where possible though clearly this can not be fully mitigated as many products manufactured in the UK will be assembled using imported materials	Design Team	3	3	9		open	16/12/2020
7	Compliance with NHSPS standard specification not achieved	3	3	9	High	16/12/2020	The Design Team to review and record any areas of non-compliance in a derogations register for sign off by NHSPS	Design Team	3	1	3		open	16/12/2020
8	Failure to agree new leases with the tenants / service providers	2	4	8	High	16/12/2020	NHSPS to advise stakeholders early in the process of service & accommodation charges and agree HoTs with them	NHSPS	2	3	6		open	16/12/2020
9	ETTF and system approvals timescales become protracted putting the scheme commencement back and thus rendering the scheme undeliverable within the ETTT timeframe	5	5	25	Significant	16/12/2020	NHSPS to engage with NHSE early in development of the BJC in order to try to secure buy-in and speedy approvals	NHSPS	3	5	15		open	16/12/2020